

The Higgs mechanism as a cut-off effect

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ABSTRACT: We compute the Coleman-Weinberg potential with a finite cut-off for pure SU(2) and SU(3) five-dimensional gauge theories compactified on an interval. We show that besides the expected Coulomb phase located at and in the vicinity of the free infrared stable or "trivial" fixed point, the theory possesses also a Higgs phase. We compare the results from the potential computation with lattice data from simulations.

KEYWORDS: Field Theories in Higher Dimensions, Lattice Gauge Field Theories, Spontaneous Symmetry Breaking.

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1. Introduction

A five-dimensional pure SU(N) gauge theory is "trivial". This remains true when one of the dimensions is compactified on a circle of radius R or an interval. The simplest way to see this is to look at the two dimensionless quantities parameterizing the theory

$$N_5 = \pi R \Lambda \quad \text{and} \quad \beta = 2N/(g_5^2 \Lambda). \tag{1.1}$$

N_5 is the ratio of the cut-off Λ over the compactification scale $1/(\pi R)$ and the coupling β is derived from the dimensionful five-dimensional gauge coupling g_5 (and is appropriate for a lattice cut-off as we will use in this work). The crucial observation is that at a critical value of the coupling $\beta = \beta_c$, a first order phase transition occurs [1–4]. For $\beta < \beta_c$ the system finds itself in a confined phase and for $\beta > \beta_c$ in a deconfined phase. The non-perturbative spectrum of a pure SU(2) five-dimensional gauge theory compactified on an interval [5, 6] was determined by lattice simulations [7, 8]. The outcome is that the particle masses in lattice units are presumably too large in the confined phase in order to be "measured" in simulations. The situation is different in the deconfined phase, where we therefore expect to possibly reproduce the Higgs sector of the Standard Model. Because of these facts, removing the cut-off (i.e. taking the limit $\Lambda \rightarrow \infty$) in the deconfined phase, while keeping the dimensionless coupling $g_5^2 \Lambda$ perturbative in the limit, is possible only at the trivial point — $g_5 \rightarrow 0$ and $\beta \rightarrow \infty$ — of the phase diagram (the N_5 - β plane). For an extra dimension of non-zero size,¹ in this limit, also $N_5 \rightarrow \infty$. Perturbative computations performed with an infinite cut-off amount therefore to being located at the trivial point

¹We will avoid at all times the singular limit $R = 0$.

of the phase diagram, where interactions vanish. Any higher dimensional gauge theory is expected to have these generic properties [9].

More precisely, triviality can be understood by looking at the effective four-dimensional coupling

$$g_4^2 = \frac{g_5^2}{2\pi R} = \frac{N}{N_5\beta}. \tag{1.2}$$

The evolution of this coupling with the scale is a calculation that requires a cut-off. This was done in [10], where the following 1-loop formula was found for one extra dimension

$$(\beta N_5)(\Lambda) = (\beta N_5)(\mu) - b_4 \ln(\Lambda/\mu) + b_5[(\Lambda/\mu) - 1], \tag{1.3}$$

expressed here in the dimensionless parameters using eq. (1.2). μ is a reference scale such that $1/R < \mu < \Lambda$ and R is kept fixed. b_4 is a model dependent number and b_5 is also cut-off independent in the limit of large Λ/μ . One immediately sees from eq. (1.3) that as $\Lambda \rightarrow \infty$, the 1-loop corrected effective coupling g_4 goes to zero. For large N_5 and β the formula describes physics in the vicinity of the trivial point, while its applicability becomes questionable as $N_5\beta \rightarrow 0$ where cut-off effects run out of control. Nevertheless it is legitimate to ask how much one can really lower the product $N_5\beta$ so that the theory can be safely described analytically while keeping g_4^2 perturbative.

Our goal is to study spontaneous symmetry breaking (SSB). Gauge coupling evolution is not the most appropriate tool, since SSB has to be put by hand in the β -function computation. Instead, a scalar potential is needed. In particular, one-loop computations of the Coleman-Weinberg potential [11] for compactified extra-dimensional gauge theories have been carried out at infinite cut-off aiming to explain the Higgs mechanism. According to this scenario, (some of) the fifth dimensional components of the gauge fields play the role of the Higgs field [12–19]. Several interesting properties have emerged, some of them encouraging some of them not from a phenomenological point of view. The most impressive virtue is the finiteness of the Higgs mass, which is believed to hold non-perturbatively due to the non-local origin of the operator whose fluctuations are responsible for generating this mass. For the same reason it seems though impossible for the rank of the bulk gauge symmetry to be broken. To break the rank and/or to obtain reasonable phenomenology, additional assumptions have to be employed. One common feature of these models is the introduction of extra matter fields, as SSB seems not to be possible in the pure gauge theory. Recent works related to such issues include [20–38]. In any case it seems rather hard to obtain naturally a reasonable hierarchy of masses in the sector which is supposed to reproduce physics in the bosonic sector of the Standard Model.

In this work we intend to show that in the interior of the N_5 - β phase diagram where the cut-off is finite, there is a transition into a broken rank phase of the five-dimensional pure $SU(N)$ gauge theory. The tool that allows to see this is the (not necessarily perturbative in β) expansion in the cut-off

$$-\mathcal{L} = \frac{1}{2g_5^2} \text{tr}\{F \cdot F\} + \sum_{p_i} c^{(p_i)}(N_5, \beta) a^{p_i-4} \mathcal{O}^{(p_i)} + \dots \tag{1.4}$$

of the effective lagrangean [39–42], where F is the field strength, $\mathcal{O}^{(p_i)}$ is an operator² of dimension $p_i > 4$ and $c^{(p_i)}(N_5, \beta)$ is in general a non-perturbative function of β and N_5 in the interior of the phase diagram. The sum runs over all independent operators of dimension p_i . The regularization assumed for this action is one where space-time is Euclidean and discrete (i.e. N_5 is an integer) and the cut-off is related to the lattice spacing a as $\Lambda = 1/a$. The various operators appearing in \mathcal{L} contribute to the masses of the states that make up the spectrum, in case some fields acquire a vacuum expectation value (vev). The scalar potential at one-loop can be computed by inserting the mass matrix into the Coleman-Weinberg formula.

We expect that there is a region in the parameter space around the trivial point where the effective lagrangean eq. (1.4) describes well the theory, in the sense that a truncation of the expansion is meaningful. It will turn out to be a good approximation also when we will compare with results from lattice simulations.

To begin, computing the Coleman-Weinberg potential with a cut-off should move us somewhere in the interior of the phase diagram. Moreover, we will seek regions of the phase diagram where a reasonable truncation of the expansion eq. (1.4) is possible. The reason is that in such a case, the theory can be described analytically and non-perturbatively to a good approximation with a finite number of parameters. In a lattice regularization, the leading order value of the coefficients can be read off by expanding the Wilson plaquette action to appropriate order, while the general $c^{(p_i)}(N_5, \beta)$ can be either computed in perturbation theory or "measured" by means of a numerical simulation. We will not determine these coefficients here. Instead we use them as variable parameters of our potential calculation and compare its results with the lattice data.

2. The Coleman-Weinberg potential

2.1 Review of the continuum calculation and a few comments

Let us first remove the cut-off. The calculation in this case can be carried out in the continuum without having to refer explicitly to the lattice regulator. The Coleman-Weinberg scalar potential V in D dimensions is defined at one-loop by

$$\int [D\phi] e^{-S_E} \sim e^{-V} \equiv \frac{1}{\sqrt{\det [\square + M^2]}}. \quad (2.1)$$

This one-loop approximation is guaranteed to be satisfactory as long as we keep $g_4 \ll 1$. The potential V can be extracted as follows:

$$V = -\frac{1}{2} \frac{\pi^{D/2}}{(2\pi)^D} \int_0^\infty \frac{dt}{t^{\frac{D+2}{2}}} \text{tr} \left\{ e^{-tM^2} \right\}. \quad (2.2)$$

In order to specify the mass spectrum, one expands the fields à la Kaluza-Klein (KK), where the eigenvalues of the mass matrix M are of the form $m_n(\alpha) = (n + f(\alpha))/R$, $n \in \mathbb{Z}$.

²Additional boundary counterterms appear when the theory is defined on an interval. Their significance for our discussion will become clear in the following.

In our case the shifts $f(\alpha)$ of the KK numbers are due to some of the components of the gauge field A_5 taking a vacuum expectation value (vev).

To be more specific, we will consider an $SU(2)$ gauge theory in five dimensions compactified on an interval of size πR , which is equivalent to an S^1/\mathbb{Z}_2 orbifold. The gauge field $A_M = -ig_5 A_M^B T^B$ ($T^B = \sigma^B/2$, $B = 1, 2, 3$ are the $SU(2)$ generators) is defined on the circle S^1 along the extra dimension. Its components A_M^B are divided into even and odd under the orbifold parity, which is the product of the parity under Euclidean reflection (+1 for A_μ , $\mu = 0, 1, 2, 3$ and -1 for A_5) with the parity under group conjugation (+1 for the components with $B = 3$ and -1 for $B = 1, 2$). The conjugation matrix is $g = -i\sigma_3$. The even E and odd O fields are expanded in the KK basis as

$$E(x, x_5) = \frac{1}{\sqrt{2\pi R}} E^{(0)}(x) + \frac{1}{\sqrt{\pi R}} \sum_{n=1}^{\infty} E^{(n)}(x) \cos(nx_5/R), \quad (2.3)$$

$$O(x, x_5) = \frac{1}{\sqrt{\pi R}} \sum_{n=1}^{\infty} O^{(n)}(x) \sin(nx_5/R). \quad (2.4)$$

On the boundary the even fields that survive the orbifold projection are the scalar (from a four-dimensional point of view) components $A_5^{1,2}$, which will be our complex Higgs field, and the gauge boson component A_μ^3 , which we will call the Z^0 gauge boson.

The mass-squared terms in the lagrangean \mathcal{L} for the gauge bosons A_μ are in the continuum

$$(\bar{D}_5 A_\mu^A)(\bar{D}_5 A_\mu^A), \quad (2.5)$$

where $\bar{D}_5 A_M^A = \partial_5 A_M^A + g_5 f^{ABC} \langle A_5^B \rangle A_M^C$. The masses of the KK modes of A_μ are obtained by expanding the field components as in eq. (2.3) and eq. (2.4). The mass-squared terms for the scalars A_5 originate from the gauge-fixing term in the lagrangean and are

$$\frac{1}{\xi} (\bar{D}_5 A_5^A)(\bar{D}_5 A_5^A). \quad (2.6)$$

We will work in the gauge $\xi = 1$. In order to find the mass eigenvalues, the gauge symmetry can be used to allow only one component of A_5 to take a vev, here for example

$$\langle A_5^1 \rangle = \frac{v}{\sqrt{2\pi R}}, \quad \langle A_5^2 \rangle = \langle A_5^3 \rangle = 0. \quad (2.7)$$

The mass matrix for the four-dimensional fields is diagonal in the KK index n . The eigenvalue shifts for $n \neq 0$ are $f(\alpha) = 0, \pm\alpha$ and are the same for the gauge bosons and the scalars. The parameter α is defined as

$$\alpha = \frac{g_5 v R}{\sqrt{2\pi R}}. \quad (2.8)$$

The mass of the "Cartan" component is $m_{Z^0} = \alpha/R$ and the masses of the scalar zero-modes are $0, \alpha/R$. The potential eq. (2.2) has the simple³ periodic form [18, 19]

$$V = -\frac{3 \cdot P}{64\pi^6 R^4} \sum_{m=1}^{\infty} \frac{\cos(2\pi m\alpha)}{m^5}, \quad (2.9)$$

³The derivation of eq. (2.9) involves a Poisson resummation of the KK index. Thereafter a divergent contribution $m = 0$ is dropped since it does not depend on the parameter α .

where $P = 3$ is the multiplicity of states (2 from physical degrees of polarization of A_μ , 1 from A_5). Succinctly expressed, the dimensionless modulus in eq. (2.8) acquired a non-trivial potential at the quantum level by the Hosotani mechanism [13, 14].

The fast way to see why the rank can not break at infinite cut-off is to look at the commutator of the vacuum expectation value of the Polyakov line around the extra dimension (which is the physical meaning of A_5) with the generators of the Cartan subalgebra:

$$[e^{-i\pi\alpha\sigma^1}, H_i], \tag{2.10}$$

which clearly vanishes for $\alpha \in \mathbb{Z}$. From the point of view of the potential, it is obvious from eq. (2.9) that the term that determines the true vacuum is the first term in the expansion in m , proportional to $\cos(2\pi\alpha)$. Clearly, eq. (2.9) then has a global minimum at $\alpha = \alpha_{\min} = 0 \bmod \mathbb{Z}$ as a result of which the KK tower shifts by an integer and thus SSB of the rank of the gauge group does not occur, consistently with the symmetry argument.

Nevertheless, a non-trivial Higgs mass is implied by V . In fact, the Higgs mass formula found by computing the second derivative of the potential eq. (2.9) at the minimum agrees with the one obtained by a direct continuum perturbative calculation of vacuum polarization diagrams in dimensional regularization [43, 44]

$$(m_H R)_{\text{pert}}^2 \equiv R^2 \frac{d^2 V}{dv^2} \Big|_{\alpha=\alpha_{\min}} = \frac{9\zeta(3)}{8\pi^4} \frac{1}{N_5 \beta} \Big|_{\alpha=0} \tag{2.11}$$

expressed in terms of the dimensionless parameters.⁴ We are particularly interested in the ratio

$$\rho_{HZ^0} = \frac{m_H}{m_{Z^0}}, \tag{2.12}$$

whose one-loop value is naturally undetermined at the trivial point.

To summarize, at the trivial point, the Z^0 gauge boson remains massless and the Higgs is inclined towards masslessness as well. These phenomenological obstacles are expected to persist generically as long as the theory is probed in the close vicinity of the trivial point.

It is important therefore to investigate if these are properties valid throughout the entire phase diagram. For instance, in order that the rank is preserved non-perturbatively, a discrete global symmetry must act on α so that it can protect the perturbative minimum of the potential. We see no such symmetry. In fact, a careful lattice simulation study of an $SU(2)$ orbifold gauge theory, for a range of finite values of N_5 and β shows that there is no leftover massless $U(1)$ gauge boson, suggesting a non-perturbative breaking of the rank [7, 8]. As a simple plausibility argument, let us assume that α , not being protected by any symmetry, changes from its integer value at the trivial point by a cut-off dependent shift $\epsilon(\Lambda)$ in the interior of the phase diagram. Then,

$$[e^{-i\pi(1-\epsilon(\Lambda))\sigma^1}, H_i] \sim \mathcal{O}(\epsilon(\Lambda)), \tag{2.13}$$

the Polyakov loop does not commute anymore with the H_i , allowing for SSB of the rank, which can occur even if $\epsilon(\Lambda)$ is infinitesimal.

⁴Note that the squared Higgs mass on the orbifold is just one half of that on the circle [45].

As we will show in the remaining of this letter, the transition from the Coulomb into the Higgs phase on the circle is sharp with the modulus α shifting discretely due to the presence of cut-off dependent higher dimensional operators. On the orbifold, the minimum is further shifted continuously due to boundary counterterms, which also bring important cut-off effects.

2.2 Cut-off effects in the mass formulae

As a first step, we would like to derive the first non-trivial correction in the effective action which determines the leading correction to the mass formula. For simplicity we consider the theory regulated on an infinite lattice. The $SU(N)$ Wilson plaquette action is

$$S_W = -\frac{\beta}{2N} \sum_z \sum_{M,N} \text{tr}\{2 - U_{MN}(z) - U_{MN}^\dagger(z)\}, \quad (2.14)$$

where $z = (x_\mu, x_5)$ and $\mu = 1, \dots, 4$, $M = 1, \dots, 5$. The plaquettes are defined as $U_{MN}(z) = U(z, M)U(z + a\hat{M}, N)U^{-1}(z + a\hat{N}, M)U^{-1}(z, N)$ and a link is related to the gauge field via $U(z, M) = e^{aA_M(z)}$. Using the Baker-Campbell-Hausdorff formula, given the Lie algebra elements X, Y, P, Q one can determine the F_i such that

$$e^{aX} e^{aY} e^{aP} e^{aQ} = e^{\sum_i a^i F_i}, \quad (2.15)$$

where i runs from 1 to some specified order I , with all the F_i anti-hermitian. This implies that in expanding the plaquette action with $X = A_M(z)$, $Y = A_N(z + a\hat{M})$, $P = -A_M(z + a\hat{N})$ and $Q = -A_N(z)$, the non-zero contributions arrange themselves as

$$S_W = \frac{\beta}{2N} \sum_{n=1}^{\infty} \frac{2}{(2n)!} \left(\sum_{i=1}^I a^i F_i \right)^{2n}. \quad (2.16)$$

Let us start from the $n = 1$ term. This is $(aF_1 + a^2F_2 + \dots)^2$, whose leading order part, by substituting the explicit forms

$$F_1 = A_M(z) + A_N(z + a\hat{M}) - A_M(z + a\hat{N}) - A_N(z), \quad F_2 = [A_M(z), A_N(z)], \quad (2.17)$$

is recognized to correspond to the dimension 4 operator $\mathcal{O}^{(4)} = \sum_{M,N} \text{tr}\{F_{MN}F_{MN}\}$. The next terms in the expansion generate the dimension 6 operator [46]

$$\mathcal{O}^{(6)} = \sum_{M,N} \frac{1}{24} \text{tr}\{F_{MN}(D_M^2 + D_N^2)F_{MN}\} \quad (2.18)$$

and so forth. The squared gauge boson masses (when A_μ is expanded in the KK basis) can be extracted by diagonalizing the operator

$$\bar{D}_5 \bar{D}_5 + \frac{a^2}{12} (\bar{D}_5 \bar{D}_5)^2 + \dots, \quad (2.19)$$

where $\bar{D}_5 = \partial_5 + [\langle A_5 \rangle, \cdot]$. The mass squared matrix is therefore itself an expansion

$$M^2 = (M^2)^{(4)} + \frac{a^2}{12} (M^2)^{(6)} + \dots, \quad (M^2)^{(6)} = \left((M^2)^{(4)} \right)^2 \quad (2.20)$$

with the superscript denoting the dimension of the contributing operator. As mentioned, non-perturbatively, the coefficient $1/12$ in eq. (2.19) should be replaced by a generic coefficient

$$c \equiv c^{(6)}(N_5, \beta). \tag{2.21}$$

The masses of the field A_5 come entirely from the gauge fixing term and do not receive corrections from the action.

On the orbifold, an additional contribution to the mass matrix comes from the boundary counterterm

$$\mathcal{L}^{\text{bound.}} = \frac{\pi a c_0}{4} F_{5\mu}^{\hat{a}} F_{5\mu}^{\hat{a}} [\delta(x_5) + \delta(x_5 - \pi R)] \tag{2.22}$$

in the effective lagrangean eq. (1.4). It will give a contribution only to the mass matrix of the even gauge fields A_μ^a because these do not vanish on the boundaries. We note that the counterterm eq. (2.22) does not appear at one-loop in perturbation theory [43, 44] but is expected to arise at higher orders [5]. The boundary coefficient should also be understood as a cut-off dependent function $c_0(N_5, \beta)$ at a generic point of the phase diagram.

It is straightforward to obtain the cut-off corrected eigenvalues of the mass matrices. We will consider two models. One is the SU(2) model we have already described and the other is its SU(3) generalization. In this case the orbifold breaks the symmetry down to SU(2) \times U(1) on the boundaries. The even fields are $A_\mu^{1,2,3,8}$ and $A_5^{4,5,6,7}$, the rest of them are odd. The vev can be aligned along $\langle A_5^4 \rangle = v/\sqrt{2\pi R}$ and the eigenvalues are again parameterized by α defined in eq. (2.8).

For the SU(2) $\xrightarrow{\mathbb{Z}_2}$ U(1) model the non-zero mode eigenvalues of the mass matrix $(MR)^2$ are (we recall the relation $\pi R = N_5 a$)

$$(m_n R)^2 = n^2, \tag{2.23}$$

$$(n \pm \alpha)^2 + \frac{c_0 \alpha^2}{2} \frac{\pi}{N_5} + c(n \pm \alpha)^4 \frac{\pi^2}{N_5^2}. \tag{2.24}$$

The single zero mode eigenvalue $(m_{Z^0} R)^2$ can be obtained by putting $n = 0$ in eq. (2.24). For the SU(3) $\xrightarrow{\mathbb{Z}_2}$ SU(2) \times U(1) model in the non-zero mode sector we find ($2 \times$ denotes degeneracies)

$$(m_n R)^2 = 2 \times n^2, \tag{2.25}$$

$$(n \pm \alpha)^2 + \frac{c_0 \alpha^2}{4} \frac{\pi}{N_5} + c(n \pm \alpha)^4 \frac{\pi^2}{N_5^2}, \tag{2.26}$$

$$2 \times \left((n \pm \alpha/2)^2 + \frac{c_0 (\alpha/2)^2}{4} \frac{\pi}{N_5} + c(n \pm \alpha/2)^4 \frac{\pi^2}{N_5^2} \right). \tag{2.27}$$

In the zero-mode sector there is a single zero eigenvalue (corresponding to $(m_\gamma R)^2$), one eigenvalue which is obtained by putting $n = 0$ in eq. (2.26) (corresponding to $(m_{Z^0} R)^2$) and a pair of eigenvalues which is obtained by putting $n = 0$ in eq. (2.27) (corresponding to $(m_{W^\pm} R)^2$). The only subtle step in these calculations is that for the boundary contributions

we have kept only their n -independent parts and dropped $O(1/n)$ corrections, which seems to be a satisfactory approximation.

Another new input immediately appears because of the finite cut-off. Namely, the Higgs vev should not exceed $1/a$. This translates in the constraint

$$|\alpha| < \sqrt{\frac{NN_5}{\pi^2\beta}} \tag{2.28}$$

and the potential we are about to compute strictly makes sense only in this regime.

2.3 Coleman-Weinberg potential with a cut-off

We consider a one-component scalar field of mass m on a D dimensional Euclidean lattice with lattice spacing a . The effective potential can be written in the same form as in the continuum eq. (2.2)

$$V = -\frac{1}{2} \int_{\epsilon}^{\infty} \frac{dt}{t} \text{tr} \left[e^{-t(m^2 + \hat{p}^2)} \right], \tag{2.29}$$

but here $\hat{p}_\mu = \frac{2}{a} \sin(\frac{ap_\mu}{2})$ are the lattice momenta and the p_μ take values restricted to the interval $-\pi/a$ and π/a . Hence eq. (2.29) is short for

$$V = -\frac{1}{2} \int_0^{\infty} \frac{dl}{l} e^{-\frac{1}{l}(m^2 a^2 + 2D)} \frac{1}{a^D} I_0^D \left(\frac{2}{l} \right), \tag{2.30}$$

where we have substituted $1/t$ by l/a^2 and $I_0(2/l)$ is the 0th modified Bessel function. The total potential V is the sum over all mass states of the ghosts, gauge and scalar particles.

2.3.1 The SU(2) case

Let us start with the gauge bosons A_μ . We include only the n dependent terms of the mass eigenvalues of eq. (2.24) into m_n . This leads to the modified KK masses

$$m_n^2 = \left(\frac{n + \alpha}{R} \right)^2 + c a^2 \left(\frac{n + \alpha}{R} \right)^4. \tag{2.31}$$

The boundary correction is accounted for by a shift in the exponential in eq. (2.30), i.e. (setting $D = 4$)

$$e^{-\frac{1}{l}(m_n^2 a^2 + 8)} \rightarrow e^{-\frac{1}{l}(m_n^2 a^2 + 8 + c_0 \frac{a^3 \alpha^2}{2R^3})}. \tag{2.32}$$

The c_0 term is of $O(a)$ relative to the mass squared and is therefore expected to be small, such that it can be expanded. At the same time, we use an expansion in c throwing away all terms of $O(a^3)$ or higher in the joint expansion. Schematically, we will collect the terms

$$V = \underbrace{f_0}_{O(1)} + \underbrace{f_2 c}_{O(a^2)} + \underbrace{f_1 c_0}_{O(a)} + \underbrace{\tilde{f}_2 c_0^2}_{O(a^2)}. \tag{2.33}$$

We start with the pure bulk contributions, that is f_0 and f_2 . On the lattice the values of n are restricted to the interval $n = 0, 1, \dots, N_5 - 1$ but we extend them to $n \rightarrow \infty$ for the calculation. This is justified because the higher modes are expected to contribute very little [10]. Hence, summing over all KK states gives

$$V^{\text{bulk}} = -\frac{1}{2a^4} \sum_{n \in \mathbb{Z}} \int_0^\infty \frac{dl}{l} e^{-\frac{1}{l}(m_n^2 a^2 + 8)} I_0^4 \left(\frac{2}{l} \right). \quad (2.34)$$

Note that on the orbifold the extension to the sum over $n \in \mathbb{Z}$ is achieved by combining the $+\alpha$ and $-\alpha$ contributions in the eigenvalues eq. (2.24). We expand in the $O(a^2)$ correction to the mass formula eq. (2.31) and obtain

$$V^{\text{bulk}} = -\frac{1}{2a^4} \sum_{k=0}^\infty c^k \frac{(-a^4)^k}{k! R^{4k}} \int_0^\infty \frac{dl}{l} \frac{1}{l^k} e^{-\frac{8}{l}} I_0^4 \left(\frac{2}{l} \right) \sum_{r=0}^{4k} \binom{4k}{r} \alpha^{4k-r} \sum_{n \in \mathbb{Z}} e^{-\left(\frac{n+\alpha}{R}\right)^2 \frac{a^2}{l}} n^r, \quad (2.35)$$

where we have expressed $(n+\alpha)^{4k}$ through the binomial identity. The next step is a Poisson resummation. From the ordinary Poisson resummation formula follows the identity of its derivatives (A and b are constants and m an integer not to be confused with the mass m)

$$\frac{1}{\pi^r} \frac{\partial^r}{\partial b^r} \sum_n e^{-(\pi A n^2 + \pi b n)} = \frac{1}{\pi^r} \frac{\partial^r}{\partial b^r} \sqrt{A}^{-1} \sum_m e^{-\frac{\pi}{A}(m+ib/2)^2}. \quad (2.36)$$

The \sum_n appears in eq. (2.36) exactly in the same way as in the rhs of eq. (2.35), hence we need to find the resummation of the exponential alone and then take its r -th derivative. We define functions $f^{(r,m)}(\alpha, l)$ by the relation

$$f^{(r,m)}(\alpha, l) : \frac{\partial^r}{\partial b^r} e^{-\frac{\pi}{A}(m+i\frac{b}{2})^2} = f^{(r,m)}(\alpha, l) e^{-\frac{\pi}{A}(m+i\frac{b}{2})^2}. \quad (2.37)$$

These functions have the property that $f^{(r,-m)}(\alpha, m) = [f^{(r,m)}(\alpha, m)]^*$. Thus V^{bulk} can be written as

$$V^{\text{bulk}} = -\frac{1}{2} \frac{R}{a} \sum_k (-1)^k c^k V_k, \quad (2.38)$$

where

$$V_k = \frac{a^{4(k-1)}}{k! R^{4k}} \sum_{r=0}^{4k} \frac{1}{\pi^{r-\frac{1}{2}}} \binom{4k}{r} \alpha^{4k-r} \int_0^\infty dl l^{-\frac{1}{2}-k} e^{-\frac{8}{l}} I_0^4 \left(\frac{2}{l} \right) \times \left(f^{(r,0)}(\alpha, l) + \sum_{m>0} 2 \operatorname{Re} \left[f^{(r,m)}(\alpha, l) e^{-2i\pi m \alpha} \right] e^{-\frac{\pi^2 R^2 l m^2}{a^2}} \right). \quad (2.39)$$

To be consistent in the order of the expansion we must truncate this sum at $k = 1$. A fact that one should notice here and keep in mind is that the $k = 1$ term comes with the opposite sign with respect to the $k = 0$ term.

The original integral in eq. (2.30) has a logarithmic divergence for $l \rightarrow \infty$. The Poisson resummation regularizes the integral in the sense that after the resummation it is finite up

to a divergent term coming from $m = 0$. This term is constant in α and can be neglected. Hence the terms corresponding to f_0 and f_2 are

$$V_0 = \frac{2\sqrt{\pi}}{a^4} \int_0^\infty \frac{dl}{\sqrt{l}} e^{-\frac{8}{l}} I_0^4\left(\frac{2}{l}\right) \sum_{m>0} \cos(2\pi m\alpha) e^{-N_5^2 m^2 l} \quad (2.40)$$

and

$$V_1 = \frac{2\sqrt{\pi}}{a^4} \int dl \sqrt{l} e^{-\frac{8}{l}} I_0^4\left(\frac{2}{l}\right) \sum_{m>0} \cos(2\pi m\alpha) \left(N_5^4 m^4 l^2 - 3N_5^2 m^2 l + \frac{3}{4}\right) e^{-N_5^2 m^2 l} \quad (2.41)$$

respectively, where we used $R\pi = N_5 a$. For the boundary potential $V^{\text{bound.}}$ determined by the terms f_1 and \tilde{f}_2 we need the first and second order terms in c_0 at $c = 0$. After a Poisson resummation, they look very similar to V_0 in eq. (2.40). Apart from a prefactor, the only difference is the modified power of l in the integrand.

Summing up, we finally obtain $V^{\text{gauge}} = V^{\text{bulk}} + V^{\text{bound.}}$, or explicitly

$$\begin{aligned} V^{\text{gauge}} = & -\frac{1}{2} \frac{N_5}{\pi} (V_0 - cV_1) + \\ & + \frac{c_0}{4} \frac{\alpha^2 \pi^{5/2}}{N_5^2} \int_0^\infty dl l^{-3/2} e^{-\frac{8}{l}} I_0^4\left(\frac{2}{l}\right) \left(1 + 2 \sum_{m>0} \cos(2\pi m\alpha) e^{-N_5^2 l m^2}\right) \\ & - \frac{c_0^2}{16} \frac{\alpha^4 \pi^{11/2}}{N_5^5} \int_0^\infty dl l^{-5/2} e^{-\frac{8}{l}} I_0^4\left(\frac{2}{l}\right) \left(1 + 2 \sum_{m>0} \cos(2\pi m\alpha) e^{-N_5^2 l m^2}\right) \end{aligned} \quad (2.42)$$

per gauge field A_μ .

Note that in the boundary corrections the $m = 0$ term can not be dropped because it is α -dependent. However, the higher negative power in l makes it converge as $l \rightarrow \infty$. On the other hand, the c_0^2 correction in eq. (2.42) diverges in the limit $l \rightarrow 0$. This can be dealt with by splitting the corresponding integral in two domains. For small l one can use eq. (2.34) directly, with m_n^2 set to $(n + \alpha)^2/R^2$ in which case the only potential problems arise when $n + \alpha = 0$. This however is not a real problem because on one hand at $\alpha = 0$ the c_0 corrections vanish identically and on the other α will be always smaller than one due to the constraint in eq. (2.28). For large l one can then use eq. (2.42).

The mass matrices for A_5 and the Faddeev-Popov ghosts are identical⁵ and generated through \bar{D}_5^2 only. Moreover they do not get cut-off corrections from the bulk and boundary action. The contribution to the potential for A_5 is

$$V^{\text{scalar}} = V^{\text{gauge}}|_{c_0=c=0}. \quad (2.43)$$

In the notation of eq. (2.1) the 1-loop path integral for our theory is

$$\frac{\det[\prod_n (-\partial_\mu \partial_\mu + m_{n,\text{scalar}}^2)]}{\det[\prod_n (-\partial_\mu \partial_\mu + m_{n,\text{gauge}}^2)]^{D/2} \det[\prod_n (-\partial_\mu \partial_\mu + m_{n,\text{scalar}}^2)]^{1/2}} \quad (2.44)$$

⁵Only the orbifold parities are opposite. Those of the ghosts are equal to the ones of the gauge bosons, as it follows by considering their interaction term in the lagrangean.

where $m_{n,\text{gauge}}$ is given by eq. (2.24) and $m_{n,\text{scalar}} = m_{n,\text{gauge}}|_{c_0=c=0}$. For $D = 4$ this is equivalent to a Coleman-Weinberg potential

$$V = 4V^{\text{gauge}} + V^{\text{scalar}} - 2V^{\text{scalar}}, \quad (2.45)$$

where the last (negative) contribution comes from the ghosts.

2.3.2 The SU(3) case

The eigenvalues of the SU(3) mass matrix eq. (2.26) and eq. (2.27) are very similar to those of the SU(2) model in eq. (2.24). They can be obtained by shifting $c_0 \rightarrow c_0/2$ and for W in addition by setting $\alpha \rightarrow \alpha/2$. Otherwise the derivation of the potential is exactly the same as in the SU(2) case. We obtain for the Z^0

$$\begin{aligned} V_{Z^0}^{\text{gauge}} = & -\frac{1}{2} \frac{N_5}{\pi} (V_0 - cV_1) \\ & + \frac{c_0}{8} \frac{\alpha^2 \pi^{5/2}}{a^4 N_5^2} \int dl l^{-3/2} e^{-8/l} \mathbf{I}_0^4 \left(\frac{2}{l} \right) \left(1 + 2 \sum_{m>0} \cos(2\pi m\alpha) e^{-N_5^2 m^2 l} \right) \\ & - \frac{c_0^2}{64} \frac{\alpha^4 \pi^{11/2}}{a^4 N_5^5} \int dl l^{-5/2} e^{-8/l} \mathbf{I}_0^4 \left(\frac{2}{l} \right) \left(1 + 2 \sum_{m>0} \cos(2\pi m\alpha) e^{-N_5^2 m^2 l} \right) \end{aligned} \quad (2.46)$$

with V_0 and V_1 as in eqs. (2.40) and (2.41). Scalar and ghost contributions are exactly the same as for SU(2) because they do not see the c_0 corrections anyway. In the case of the W bosons the two different parts of the gauge bulk contributions are

$$V_0^{W^\pm} = \frac{2\sqrt{\pi}}{a^4} \int \frac{dl}{\sqrt{l}} e^{-8/l} \mathbf{I}_0^4 \left(\frac{2}{l} \right) \sum_{m>0} \cos(\pi m\alpha) e^{-N_5^2 m^2 l} \quad (2.47)$$

and

$$V_1^{W^\pm} = \frac{2\sqrt{\pi}}{a^4} \int dl \sqrt{l} e^{-8/l} \mathbf{I}_0^4 \left(\frac{2}{l} \right) \sum_{m>0} \cos(\pi m\alpha) \left(N_5^4 m^4 l^2 - 3N_5^2 m^2 l + \frac{3}{4} \right) e^{-N_5^2 m^2 l}. \quad (2.48)$$

Finally, adding the boundary corrections leads to

$$\begin{aligned} V_{W^\pm}^{\text{gauge}} = & -\frac{1}{2} \frac{N_5}{\pi} [V_0^{W^\pm} - cV_1^{W^\pm}] \\ & + \frac{c_0}{32} \frac{\alpha^2 \pi^{5/2}}{a^4 N_5^2} \int dl l^{-3/2} e^{-8/l} \mathbf{I}_0^4 \left(\frac{2}{l} \right) \left(1 + 2 \sum_{m>0} \cos(\pi m\alpha) e^{-N_5^2 m^2 l} \right) \\ & - \frac{c_0^2}{1024} \frac{\alpha^4 \pi^{11/2}}{a^4 N_5^5} \int dl l^{-5/2} e^{-8/l} \mathbf{I}_0^4 \left(\frac{2}{l} \right) \left(1 + 2 \sum_{m>0} \cos(\pi m\alpha) e^{-N_5^2 m^2 l} \right). \end{aligned} \quad (2.49)$$

Naturally, here the scalar/ghost contributions are also modified accordingly, that is we have to replace $\cos(2\pi m\alpha)$ by $\cos(\pi m\alpha)$ in eq. (2.43). Summing up, the total Coleman-Weinberg potential for SU(3) is

$$V = 4V_{Z^0}^{\text{gauge}} + 8V_{W^\pm}^{\text{gauge}} - 2V_{W^\pm}^{\text{scalar}} - V^{\text{scalar}}. \quad (2.50)$$

The mode counting includes an additional factor of 2 for the degenerate W bosons.

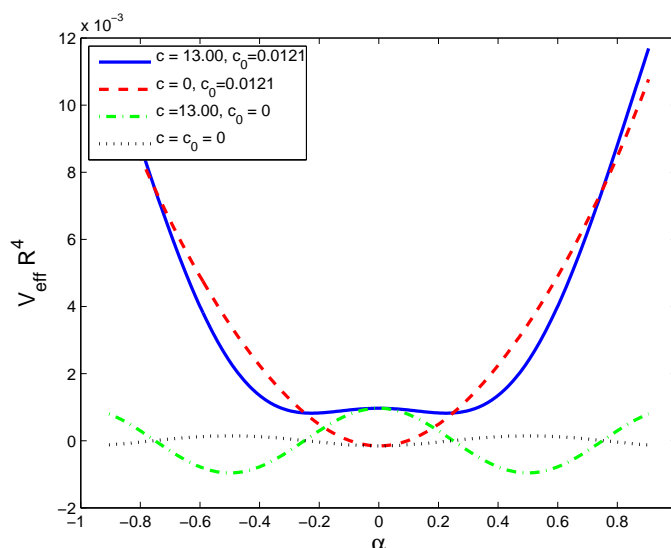


Figure 1: The Coleman-Weinberg potential for SU(2) at $N_5 = 6$. The plot shows four different cases: the potential with and without boundary and bulk corrections. The parameters are $c = 13.0$ and $c_0 = 0.0121$, if not set to zero. The minimum for the full potential (solid line) lies at $\alpha_{\min} = 0.225$.

3. Numerical results

Figure 1 shows the Coleman-Weinberg potential for SU(2), eq. (2.45), choosing $N_5 = 6$, $c = 13.0$ and $c_0 = 0.0121$. We plot the dimensionless product $R^4 V$. From the structure of eq. (2.42) we can see that on the circle (where $c_0 = 0$) for large enough c , the term cV_1 dominates over V_0 and there is a discrete shift of the minimum from $\alpha = 0$ to $\alpha = 1/2$. For $N_5 = 6$, if c is set to its tree-level value $1/12$ in the Wilson plaquette effective action, there is no SSB. The critical value is at $c \approx 1.72$. Given the non-renormalizability of the theory, there are quantum corrections which are power-like in the cut-off and large discrepancies from the tree level value are perhaps not unexpected. That is on S^1 , the transition from the Coulomb into the Higgs phase is sharp and the minimum shifts by half an integer. On S^1/\mathbb{Z}_2 (where $c_0 \neq 0$) the non-trivial minimum can drift continuously away from $\alpha = 1/2$ due to the α -dependent prefactors in V^{bound} . In general, for a given c there is a maximal value of c_0 for which there is SSB, that is the potential has a minimum at $0 < \alpha_{\min} < 1$. For c_0 larger than this value the minimum is at $\alpha = 0$. These expectations are confirmed by figure 1, which also shows that it is not possible to have SSB due to boundary effects only, that is with $c_0 > 0$ and $c = 0$.

The masses of the Z^0 boson and its first excited state Z^{0*} correspond to the two lightest modes in eq. (2.24) in the SU(2) model. They depend on the value of α_{\min} which minimizes the potential in eq. (2.45). We have

$$m_{Z^0} R = \min_{n \in \{0,1\}} \sqrt{(n - \alpha_{\min})^2 + \frac{c_0 \pi}{2N_5} \alpha_{\min}^2 + \frac{c\pi^2}{N_5^2} (n - \alpha_{\min})^4} \quad (3.1)$$

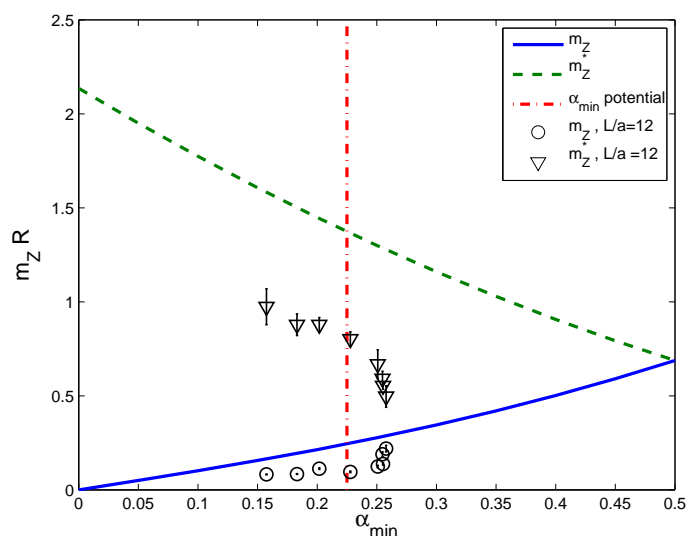


Figure 2: m_Z and m_Z^* as function of α_{\min} for SU(2) at $N_5 = 6$. Comparison of the analytical formula eq. (3.1) with the lattice data at $L/a = 12$ and $T/a = 96$.

and the mass of the first excited state m_{Z0^*} corresponds to the $\max_{n \in \{0,1\}}$ in the above formula. In figure 2 we compare the analytical formulae eq. (3.1) for $N_5 = 6$, $c = 13.0$ and $c_0 = 0.0121$ (solid lines) with the lattice results. We denote the number of points in the lattice along the spatial directions by L/a , along the time direction by T/a and along the extra dimension by N_5 with $N_5 \leq L/a$. We always compare results from the analytical formulae and the lattice with the same N_5 . Increasing L/a with N_5 fixed corresponds then to compactifying the extra dimension on the lattice.

The black symbols are our numerical data for $N_5 = 6$, $L/a = 12$ and $T/a = 96$. The points at $\alpha_{\min} \approx 0.25$ correspond to small β values near the phase transition. On the lattice we define the Higgs field Φ by

$$\Phi = [aA_5, g], \quad aA_5 = \frac{1}{4N_5}(P - P^\dagger), \tag{3.2}$$

where g is the orbifold projection matrix and P the Polyakov line.⁶ We measure $\langle \text{tr}\{\Phi\Phi^\dagger\} \rangle = 2a^2 g_5^2 \langle A_5^1 \rangle^2$. From eq. (2.7) and eq. (2.8) it follows that this observable can be expressed through α_{\min} as

$$\langle \text{tr}\{\Phi\Phi^\dagger\} \rangle = 2\alpha_{\min}^2 \frac{\pi}{N_5^2}. \tag{3.3}$$

If we take the values of $\langle \text{tr}\{\Phi\Phi^\dagger\} \rangle$ from lattice simulations, eq. (3.3) can be understood as an implicit function $\alpha_{\min}(\beta)$ for a given orbifold geometry. For $N_5 = 6$, $L/a = 12$ and $T/a = 96$ we plot this function in figure 3. We can then take the Z and Z^* masses from

⁶We take the product of the gauge links along the extra dimension with no displacement and no smearing. For details on the lattice construction and the measurement of its observables, see [8].

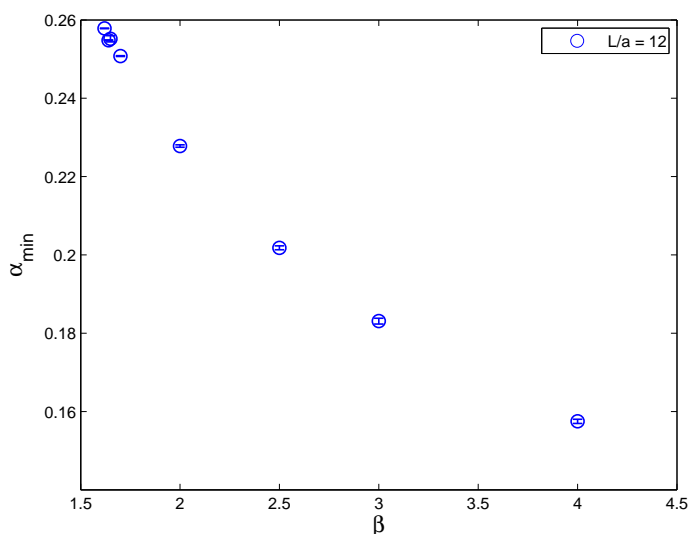


Figure 3: The function $\alpha_{\min}(\beta)$ for SU(2) at $N_5 = 6$ determined from eq. (3.3) and lattice simulations with $L/a = 12$ and $T/a = 96$.

the same simulations and plot them in figure 2. The agreement with the analytical formula eq. (3.1) is not so bad.

The Coleman-Weinberg potential has a minimum at $\alpha_{\min} = 0.225$ for the chosen c and c_0 . This value is marked by the dash-dotted vertical line in figure 2. In our comparison we are taking the “bare” values of α_{\min} to be the same in the potential and in the lattice computations. There might be a finite renormalization factor relating them.

From the Coleman-Weinberg potential we can derive the Higgs mass by taking the second derivative, cf. eq. (2.11). For a general gauge group SU(N) it is

$$(m_H R)^2 = \frac{N}{N_5 \beta} R^4 \left. \frac{d^2 V}{d\alpha^2} \right|_{\alpha=\alpha_{\min}}, \quad (3.4)$$

where we used $g_5^2/(2\pi R) = N/(N_5 \beta)$. The second derivative is evaluated numerically at the minimum $\alpha = \alpha_{\min}$. Using the Z^0 mass from eq. (3.1) we obtain values for the ratio $\rho_{HZ^0} = m_H/m_{Z^0}$. In figure 4 we compare these values with the lattice data at $N_5 = 6$. The parameters c and c_0 in the Coleman-Weinberg potential are tuned such that the position of the minimum α_{\min} matches the value of the lattice data for $L/a = 12$, see figure 3, and the corresponding value of β is inserted in eq. (3.4). The ratio ρ_{HZ^0} computed in the lattice simulations is significantly larger than the one computed with the Coleman-Weinberg potential. This is to be attributed to the values of the Higgs mass extracted from the potential, which are too small. On the lattice it is possible to get values of $\rho_{HZ^0} \geq 1$.

Finally, we consider the SU(3) case. Here since we do not have lattice data yet, we will try to fit the analytical predictions to the experimental data. In figure 5 we plot the Coleman-Weinberg potential again in the dimensionless form VR^4 for $N_5 = 6$, $c = 3.10$

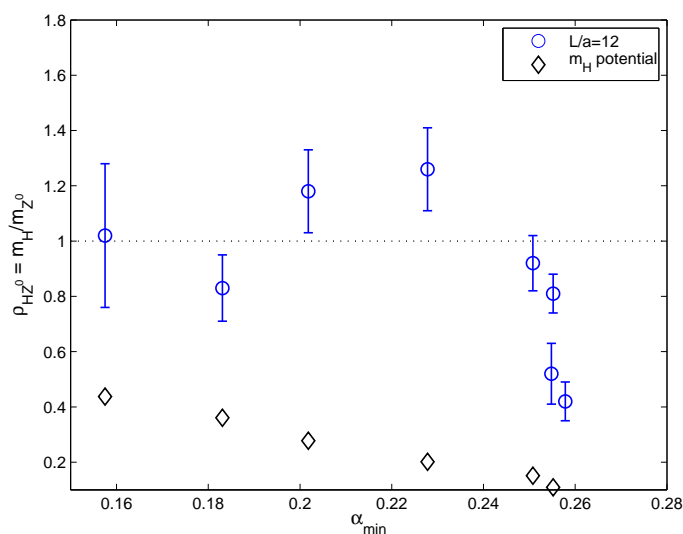


Figure 4: Ratio of the Higgs and the Z^0 boson masses for SU(2) at $N_5 = 6$. Comparison of the lattice data with the Coleman-Weinberg potential computation.

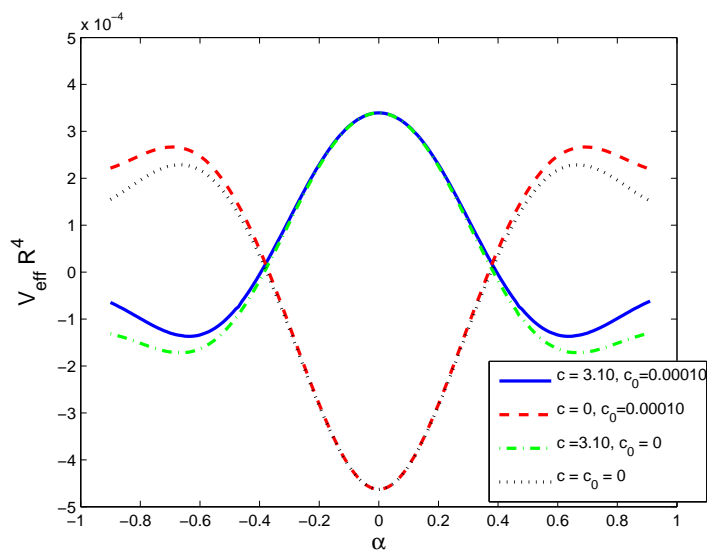


Figure 5: The Coleman-Weinberg potential for SU(3) at $N_5 = 6$. The minimum for $c = 3.1$ and $c_0 = 0.0001$ is at $\alpha_{\min} = 0.64$.

and $c_0 = 0.0001$. The masses of the Z^0 and W^\pm bosons are given by

$$m_{Z^0} R = \min_{n \in \{0,1\}} \sqrt{(n - \alpha_{\min})^2 + \frac{c_0 \pi}{4N_5} \alpha_{\min}^2 + \frac{c \pi^2}{N_5^2} (n - \alpha_{\min})^4} \quad (3.5)$$

N_5	c	c_0	$m_H R$	ρ_{HZ^0}	$\cos(\theta_W)$	α_{\min}
2	3.1	0.02	0.206	0.54	0.899	0.647
2	50.0	0.2	0.828	1.25	0.888	0.655
3	3.1	0.0036	0.095	0.23	0.868	0.641
3	50.0	0.05	0.400	0.43	0.836	0.645
4	3.1	0.0007	0.053	0.12	0.877	0.645
4	50.0	0.01	0.238	0.21	0.883	0.652
6	3.1	0.0001	0.021	0.04	0.820	0.638
6	50.0	0.0017	0.123	0.07	0.888	0.653

Table 1: Results from the computation of the Coleman-Weinberg potential at small values of N_5 . The Higgs mass is obtained from eq. (3.4) setting $\beta = 4.50$.

$$m_W R = \min_{n \in \{0,1\}} \sqrt{\left(n - \frac{\alpha_{\min}}{2}\right)^2 + \frac{c_0 \pi}{4N_5} \left(\frac{\alpha_{\min}}{2}\right)^2 + \frac{c\pi^2}{N_5^2} \left(n - \frac{\alpha_{\min}}{2}\right)^4} \quad (3.6)$$

(the choice of n that maximizes the expressions gives the mass of the excited states). It is easy to see that for $\alpha_{\min} > 0.5$ the Z^0 mass corresponds to $n = 1$ in eq. (3.5). The W mass is always given by $n = 0$ in eq. (3.6). Therefore the cosine of the Weinberg angle

$$\cos(\theta_W) = \frac{m_W}{m_{Z^0}} \quad (3.7)$$

gets larger than the value $1/2$ which is the predicted value from the mass eigenvalues for $c = c_0 = 0$. The experimental value of the cosine of the Weinberg angle is $\cos(\theta_W^{\text{exp.}}) \simeq 0.877$ and can in principle be reached if the minimization of the potential gives the appropriate value of α_{\min} , which turns out to be close to 0.65. Our general observation for $N_5 = 4, 6$ or higher is that if we choose c and c_0 such that the Weinberg angle is close to the experimental value, the Higgs mass⁷ computed from eq. (3.4) is always much smaller than the Z^0 mass, which is not phenomenologically acceptable. For example for the parameters as in figure 5 we get $\cos(\theta_W) = 0.82$ but $\rho_{HZ^0} = 0.04$. In order to get larger values of ρ_{HZ^0} we have to lower N_5 , as it is demonstrated by the data in table 1.

In fact for $N_5 = 2$, $c = 50$ and $c_0 = 0.2$ we get $\rho_{HZ^0} = 1.25$ which is the current lower limit from direct searches for the Higgs boson. At this value of N_5 cut-off effects become too large, the bound eq. (2.28) gives $\alpha < 0.37$. Nevertheless this is an indication that anisotropic lattices are needed to probe the small N_5 region, where we might expect phenomenologically relevant results.

4. Conclusions

Calculations of the Coleman-Weinberg potential for five-dimensional gauge theories per-

⁷At one-loop order the Coleman-Weinberg potential does not depend on the gauge coupling but the Higgs mass, as defined in eq. (3.4), does. For the SU(3) pure gauge theory in five dimensions, the phase transition with periodic boundary conditions is at $\beta_c = 4.35(15)$ [2]. When we quote a Higgs mass value for SU(3) from eq. (3.4) we set $\beta = 4.50$.

formed with an infinite cut-off yield the result of absence of spontaneous symmetry breaking (SSB). Therefore the presence of fermions is usually advocated.

In this work we have shown that SSB is observed in the pure gauge theory when an explicit cut-off is introduced. The lattice was our choice, since it is a gauge-invariant cut-off and we can compare the analytic results to simulation data. The analytic results were produced using a Symanzik effective langrangean, which is an expansion in operators of higher dimension accompanied by powers of the lattice spacing. A truncation to the leading order corrections is sufficient to produce evidence for SSB. In figure 2 we show the non-perturbative spectrum of gauge bosons for the SU(2) theory compactified on an interval, as measured by lattice simulations, and compare it with the Coleman-Weinberg calculation. These results justify our approach. The presence of a Higgs phase with a massive U(1) gauge boson is expected from considerations based on dimensional reduction. The Higgs boson in this theory has U(1) charge equal to 2 [8]. The four-dimensional Abelian Higgs model for this charge has a phase separation between the confined and the Higgs phase [47].

We computed the Coleman-Weinberg potential for the phenomenologically more relevant case of gauge group SU(3) broken into SU(2) \times U(1) by the orbifold boundary conditions. We can reproduce the experimental value of the Weinberg angle and a ratio of the Higgs mass over the Z boson mass larger than the current lower bound only if we lower the size N_5 of the extra dimension in lattice units, which points at the use of anisotropic lattices.

Small N_5 with a fixed cut-off corresponds to a small R and dimensional reduction is expected to occur via compactification. This would be the regime where traditionally one would expect to see the SM. However, the large N_5 regime, which for a fixed cut-off corresponds to a large extra dimension could also be interesting (large N_5 at fixed R with large cut-off drives us to the trivial point and we know what happens there).⁸ This would require some localization mechanism⁹ to work and as argued in [8] such a situation seems to have chances to be realized near the phase transition (small β). In addition, the towers of excited states collapse on their respective ground states [49] pushing the KK expansion outside its domain of validity, implying that the Coleman-Weinberg formula valid when the participating fields correspond to point particles, should not be trusted. In fact, the Coleman-Weinberg potential due to the local excitations is expected to be suppressed, see also the discussion in [50]. In this part of the phase diagram the global — Polyakov loop — nature of the Higgs and gauge bosons [8] can not be ignored. Beyond the lattice very few systematic analytical approaches are known that can probe the theory in this, inherently non-perturbative domain. The mean-field approximation could be one of them [6].

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⁸On the lattice this would correspond to choosing $N_5 = L/a$.

⁹A prominent example is ref. [48]

by the Swiss National Supercomputing Centre (CSCS) in Manno, by the Institute for Theoretical Physics of the University of Berne and by the University of Wuppertal.

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